# Representations, Reductive Groups, Invariants

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# Orientation

- The overall goal is to see how geometry can serve needs of complexity theory. (Item 4 of first lecture, serving first 3 items)
- Today: how representation theory can be useful to study the relevant geometry. (Item 5 of first lecture)
- If you are new to this, please focus on definitions and EXAMPLES. (Work out small examples for the symmetric and general linear groups.)
- It is all very concrete, "just" linear and multilinear algebra.

## Overview

- Action of a group on a set
- Linear action of a group on a vector space = "Group representation"
- Reductive algebraic groups, complete reducibility
- Reductive group acting on an affine variety, linearization
- Idea of a quotient, ring of invariants, finite generation

Group G acting on a set S means

Action map	currying	Group homomorphism
$G \times S  ightarrow S$	$\sim \rightarrow$	G  ightarrow permutations of $S$

Both ways of thinking are useful. Action map is used more often, with the "dot" notation:

 $(g,x)\mapsto g\cdot x$  By definition it satisfies  $g\cdot (h\cdot x)=(gh)\cdot x$  and  $1\cdot x=x$ 

This gives the equivalence relation  $x \sim g \cdot x$ , leading to partition of S into equivalence classes

 $S = \coprod$  orbits where orbit of  $x \in S$  is  $G \cdot x$ 

## Examples of group action on a set

Recall definition:  $G \times S \to S$  such that  $g \cdot (h \cdot x) = (gh) \cdot x$  and  $1 \cdot x = x$ .

- Trivial action of any G on any S. This means  $g \cdot x = x$  for all  $g \in G$  and  $x \in S$ .
- Defining action of the symmetric group:  $S_n$  acts naturally on  $\{v_1, \ldots, v_n\}$ .

New actions from old: suppose G acts on S. Then G also acts on

- $S \times S$ ,  $S \times S \times S$ ,..., i.e. on lists of elements from S (of a fixed length)
- subsets of *S* (of fixed cardinality)
- multisets made of elements of S (of fixed cardinality)

<u>Exercise</u>: apply this to the natural  $S_n$  action and work out orbits for small length/cardinality. Formulate graph isomorphism question in this language.

# Group representations

"G acts linearly on vector space V or V is a representation of G or  $\underline{V}$  is a G-module" means

Linear action map	currying	Group homomorphism
$G \times V  o V$	$\rightsquigarrow$	G  ightarrow GL(V)

Blanket assumption for us: V will be a  $\mathbb{C}$ -vector space and (almost always) finite dimensional.

For now take on faith that group representations are useful! How to analyze them?

- We again have orbit decomposition of V. Often this is of great interest.
- But we are also interested in a <u>linear</u> decomposition of V into smaller representations.
   Subrepresentation or G-submodule of V = <u>subspace</u> of V that is stable under action of G.

Let's first look at some examples of representations ....

## Examples of representations

- Trivial action:  $g \cdot v = v$  for all  $g \in G$  and  $v \in V$  (any group G and any vector space V)
- Can linearize action of G on any set S. For example

 $S_n$  action on set  $\{v_1, \ldots, v_n\}$   $\rightsquigarrow$  Linear  $S_n$  action on  $V = \{\sum_{i=1}^n c_i v_i \mid c_i \in \mathbb{C}\}$ . Can think of linearization = functions on the set S. This idea will be useful again later!

- New representations from old
  - Direct sum: linear action of G on V and  $W \rightsquigarrow$  action on  $V \oplus W$  by block diagonal matrices.
  - Subrepresentations of a representation: a subspace stable under action of G

<u>Exercise</u>: The  $S_n$ -module  $V = \{\sum c_i v_i\}$  has exactly two (proper nonzero) subrepresentations and V is their direct sum. General result of this nature?

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# Decomposing representations into irreducibles

A representation V is called irreducible or simple if it has no proper nonzero subrepresentation.

#### Desirable situation for a group G

- We can classify its irreps (even better if we can construct them and compute explicitly)
- Output: Section 2 (1) Section 2 (2) Secti
- Semisimplicity is equivalent to saying that every subrepresentation has a complement.
- Theorem: desirable situation is always true for a finite group G. For  $G = S_n$ , we have an explicit story. Irreps are in bijection with partitions of n and one can construct them.
- The simple submodules in the decomposition are not unique but the isotypic components (= sum of all isomorphic irreducible subreps) are (like eigenspaces of a matrix)

Need more examples to see this in action ....

If G acts linearly on V, then G also acts linearly on a vector space whose basis is

- ordered pairs (or triples, ...) of a basis of V, i.e., tensor powers  $V \otimes V$ ,  $V \otimes V \otimes V$ ,...
- degree d monomials using basis vectors of V as variables, i.e., symmetric powers  $Sym^d V$
- dual to that of V, i.e. dual space V<sup>\*</sup>. Check that  $g \cdot f(v) := f(g^{-1} \cdot v)$  is an action.

<u>Exercise</u>: decompose second tensor/symmetric powers of the defining representation  $\mathbb{C}^n$  of  $S_n$ .

Representations built out of this machinery are very relevant for symbolic computation (so I am told). They will feature prominently when we go the representations of the general linear group. Now back to algebraic groups ...

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## Affine variety X = common zeros of a set of polynomials in $\mathbb{C}[t_1, \dots, t_n]$

- This set can be taken to be a radical ideal *I*.
- Coordinate ring of X or ring of regular functions on X is  $\mathbb{C}[X] = \mathbb{C}[t_1, \dots t_n]/I$ .
- The geometry of X is completely determined by the ring C[X].
- Morphism  $X \to Y$  of affine varieties is determined by the ring map  $\mathbb{C}[Y] \to \mathbb{C}[X]$ .

#### Affine algebraic group G is a group and an affine variety in a compatible way.

- Multiplication  $G \times G \rightarrow G$  and inverse  $G \rightarrow G$  maps are morphisms of varieties.
- We want to consider only <u>rational</u> representations V, which means: the action map  $G \times V \rightarrow V$  is also a morphism of varieties.

A lot of words to digest the first time around, but I was told a secret  $\ldots$ 

# Groups of interest

For us algebraic groups of interest are mostly  $GL_n(\mathbb{C})$  and its relatives: closed subgroups like

- $SL_n(\mathbb{C})$
- $D_n$  = the diagonal matrices  $\mathbb{C}^* \times \mathbb{C}^* \times \cdots \times \mathbb{C}^*$  (*n* times)
- $B_n =$  Upper triangular matrices ,  $U_n =$  only those with 1's on the diagonal, and
- Products of such groups

Exercise: show these are affine algebraic groups and find their coordinate rings.

Note:  $GL_n(\mathbb{C})$  is already a group of matrices, with its defining representation on  $\mathbb{C}^n$ . We still very much want to study its rational representations in other linear spaces i.e. group homomorphisms  $GL_n(\mathbb{C}) \to GL_m(\mathbb{C})$  where entries in the latter matrix are polynomials in entries of the source matrix (possibly multiplied by negative powers of the determinant).

## Reductive algebraic groups

There is a structural definition of reductive group, but we are happy to accept these theorems:

- Rational representations of reductive groups are completely reducible.
- $GL_n(\mathbb{C})$ ,  $SL_n(\mathbb{C})$  and their products are reductive.
- We know their irreps explicitly. They are classified by their highest weight.

<u>Exercise</u>: show that  $D_n$  is reductive and find its irreps. (You may use that  $D_n$  acts diagonally on every rational representation.) Show that  $U_n$  is not reductive. (For a matrix with a repeated eigenvalue, the vector space need not have an eigenbasis.)

Longer exercise: Show that  $Sym^2(V)$  is an irrep for GL(V). What about  $Sym^3(V)$ ? Decompose the SL(V)-module  $V \otimes V$  into a direct sum of irreps. Can you do the same for  $Sym^2(Sym^2(\mathbb{C}^2))$ ? This is the plethysm representation from lecture 1. (Strictly speaking it was  $Sym^2(Sym^2(\mathbb{C}^2)^*)$ : with x, y a basis of  $\mathbb{C}^2$ , so that  $x^2, xy, y^2$  is a basis of  $Sym^2(\mathbb{C}^2)$ , these latter basis vectors were identified with their coefficients in a general linear combination.) • Now suppose a reductive G acts on an affine variety X. We want to study G-orbits and their closures.

Note that this could even be a linear action on a vector space X (e.g.  $\mathbb{C}^*$  acting on  $\mathbb{C}$  by multiplication). But now we want to focus on the geometry of orbits (in the example the representation  $\mathbb{C}$  is irreducible, but there are two orbits.)

• Orbit closures are affine varieties too. How do representations help? One can linearize the situation by considering regular functions on X:

G acts linearly on  $\mathbb{C}[X]$  by  $g \cdot f(x) = f(g^{-1} \cdot x)$ 

Easy check: For any regular function f on X, the linear span of {g ⋅ f | g ∈ G} is a finite dimensional rational representation of G. Use this to linearize the G-action on X: by taking finitely many of these G-stable subspaces generating C[X] as a ring, embed X as a closed subset of the span of these subspaces (embedding is compatible with G-actions).

# Invariant ring of a group action

For a *G*-module *V*, the invariants are  $V^G = \{v \in V | gv = v \text{ for all } g \in G\}$ . For *G* acting on an affine variety *X*, the invariants  $\mathbb{C}[X]^G$  form a subring of  $\mathbb{C}[X]$ . It is good to study the ring of invariants! Why?

- We want to study set of orbits as a geometric object (notation: X//G). We hope that that this will be an affine variety. How to look for it?
- What should be regular functions on X//G? Any function on X//G is a function on X that is constant on each orbit. So hope is that regular functions on  $X//G = \mathbb{C}[X]^G$ .
- Since the ring of regular functions on an affine variety characterizes that variety, we could define X//G to be the affine variety associated to the ring of invariants.
- $X \to \text{set of orbits as a map of affine varieties will then be associated to the inclusion <math>\mathbb{C}[X]^G \hookrightarrow \mathbb{C}[X]$ . We have to hold our horses. There are subtle issues, but for now ...

First question: is  $\mathbb{C}[X]^{\mathcal{G}}$  finitely generated as a ring? Then it will be a quotient of a polynomial ring by an ideal, which is generated by finitely many polynomials. We can do geometry with it!

Theorem: For a reductive G acting on affine X, the ring of invariants is finitely generated.

- Reynolds operator R: we get a unique G-linear projection R : C[X] → C[X]<sup>G</sup>. We have this by complete reducibility on each finite dimensional G-stable subspace and they all patch to give a single operator R on all of C[X].
- **②** Observe that *R* commutes with multiplication by invariants i.e. it is  $\mathbb{C}[X]^G$ -linear.
- **(3)** Reduce to the case of a rational *linear* action by linearizing *G*-action on *X* as before.
- Now the ring of functions C[x1,...,xm] is graded. There is a clever argument by induction on degree, using the fact that every *ideal* in a polynomial ring is finitely generated.

# Thank you.

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