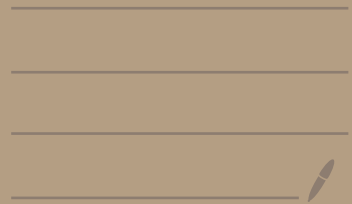


How to prove Orbits are Closed?

j/w Harm Derksen.

Polystability in positive char.

arXiv 2107.06838



Motivation: Deg. lower bounds for invariant rings

Previous talk: char 0

$SL_n \times SL_n \times SL_n \curvearrowright (\mathbb{C}^n \otimes \mathbb{C}^n \otimes \mathbb{C}^n)^{\oplus 5}$
 $SL_n \curvearrowright (\text{Sym}^3 \mathbb{C}^n)^{\oplus 4}$

exp. deg. l. bds. very unhappy w/ char 0

Grosshans principle:

$G \curvearrowright V, W$

$v \in V$ closed orbit

$$\text{Stab}_G(v) = H$$

$$\mathbb{C}[V \oplus W]^G \longrightarrow \mathbb{C}[W]^H$$

deg. bd \geq deg. bd

In this motivation: Need to (try) to verify whether points with symmetries have closed orbits.

Char 0: Moment map \rightarrow can help you identify closed orbits

My main motivation: Do this without analysis?
So that you can do for char $p > 0$

$K^{3n} \rightarrow$ basis $\{x_i, y_i, z_i\}, 1 \leq i \leq n$

Eg: $V = (\sum x_i^2 z_i, \sum y_i^2 z_i) \in \text{Sym}^3(K^{3n})^{\oplus 2}$

Example:

$$h_3(x, y, z) = x^3 + y^3 + z^3 + x^2y + xy^2 + x^2z + xz^2 + y^2z + yz^2 + xyz.$$

Qn: Is the SL_3 orbit of $h_3(x, y, z)$ closed?

Does it depend on characteristic?

$$\boxed{\text{char}(K) = P}$$

How does it depend on characteristic?

Note: Moment map criterion \rightarrow doesn't work for $h_3(x, y, z)$

SL_3 on $\text{Sym}^3(K^3) \rightarrow$ deg 3 polys
 $K^3 \rightarrow$ basis x, y, z . in $\{x, y, z\}$

Ex: $f = x^3 + x^2y + z^3$

$$\lambda(t) = \begin{bmatrix} t & & \\ & t^{-1} & \\ & & 1 \end{bmatrix} \in SL_3$$

$$\begin{aligned} \lambda(t) \cdot x &= tx \\ y &= t^{-1}y \\ z &= z \end{aligned}$$

$$\begin{aligned} \lambda(t) \cdot f &= (tx)^3 + (tx)^2(t^{-1}y) + z^3 \\ &= t^3x^3 + tx^2y + z^3 \end{aligned}$$

$$\lim_{t \rightarrow 0} \lambda(t) \cdot f = z^3 \in \overline{SL_n \cdot f}$$

Claim: $z^3 \notin SL_n \cdot f$.

Hilbert-Mumford Criterion:

$G \cdot v$ is not closed



\exists 1-parameter subgroup $\lambda: K^* \rightarrow G$

s.t. $\lim_{t \rightarrow 0} \lambda(t) \cdot v \notin G \cdot v$

$G = \mathrm{SL}_n$

Any 1-psg is of the form

$$\lambda(t) = g \begin{bmatrix} t^{a_1} & & & \\ & \ddots & & \\ & & t^{a_n} & \\ & & & \ddots \end{bmatrix} g^{-1}$$

for some $g \in \mathrm{SL}_n$.

Want to show $G \cdot v$ not closed \Rightarrow show (by magic) an appropriate $\lambda(t)$

Want to show $G \cdot v$ closed \Rightarrow argue over all 1-psg's.

Kempf: Instability in invariant theory.

Suppose $G \cdot v$ not closed.

Then

① $\exists!$ optimal parabolic subgroup $P_{G,v}$

② For any max'l torus $T \subseteq P_{G,v}$,

\exists an optimal 1-psg $\lambda(t) \in T$
that drives v out of the orbit.

③ $\text{Stab}_G(v) \subseteq P_{G,v}$

High-level idea: Search for an

optimal 1-psg. If you fail, the orbit is closed. If you succeed, then you verifiably know that the orbit is not closed.

Concrete strategy:

§

- ① Collect all parabolics $\geq \text{Stab}_G(v)$
- ② Find a collection of maximal for \mathcal{T} so that for any $P \in \mathcal{P}$, $\exists T \in \mathcal{T}$ s.t. $T \subseteq P$
- ③ For each $T \in \mathcal{T}$, check if $\overline{T \cdot v} \subseteq G \cdot v$ or not.

Catch: Hopefully \mathcal{T} is manageable.

Key: $\text{Stab}_G(v)$ non-trivial

Parabolic Subgrps of $SL_n \longleftrightarrow$ Flags in K^n .

$$P_{\mathcal{F}} \longleftrightarrow \mathcal{F}$$

$$\mathcal{F} = \{ 0 = F_0 \subseteq F_1 \subseteq \dots \subseteq F_r = K^n \}$$

F_i lin. subspaces

$Stab_G(v) \subseteq P_{\mathcal{F}} \iff$ each F_i are $Stab_G(v)$ -stable

Parabolics \supseteq $\underset{H}{Stab_G(v)} \longleftrightarrow$ Flags of $H = Stab_G(v)$ -stable subspaces

$$\text{Eg: } h_3(x, y, z)$$

$$G = \text{SL}_3.$$

$$H = \text{Stab}_G(h_3(x, y, z)) \cong S_3$$

Parabolic containing $S_3 \longleftrightarrow$ Flags of S_3 -stable subspaces of K^3

$$S_3\text{-stable subspaces of } K^3 = \{0\}$$

$$\langle x+y+z \rangle = L$$

$$\langle x-y, y-z \rangle = M$$

$$\{c_1x + c_2y + c_3z \mid c_i = 0\}$$

Possible flags:

$$\begin{array}{l} 0 \subseteq L \subseteq K^3 \\ 0 \subseteq M \subseteq K^3 \\ \hline 0 \subseteq K^3 \end{array}$$

$$0 \subseteq L \subseteq M \subseteq K^3 \rightarrow \text{only in char } 3$$

Maximal tori of SL_n

$$\text{Std one} \rightarrow ST_n = \left\{ \begin{bmatrix} d_1 & & \\ & \ddots & \\ & & d_n \end{bmatrix} \mid \prod d_i = 1 \right\}$$

Maximal tori \longleftrightarrow Bases
 (b_1, \dots, b_n)

$$T_B = B(ST_n)B^{-1} \longleftrightarrow B$$

What does it mean for

$$T_B \subseteq P_{\mathbb{F}}?$$

$$\left\{ 0 \subseteq F_0 \subseteq F_1 \subseteq \dots \subseteq F_d = K^n \right\}$$

Each F_i is a coordinate subspace
in the basis B .

$F_i = \text{span of some subset of } b_1 - b_n$

Take a basis for F_1 , extend to F_2 & so on

Eg: $h_3(x, y, z)$

(1) $0 \subseteq L \subseteq K^3$

(2) $0 \subseteq M \subseteq K^3$

$$L = \langle x+y+z \rangle$$

$$M = \langle x-y, y-z \rangle$$

Fact 1: Nothing non-trivial comes out of (1)
So I'll just show (2).

$0 \subseteq M \subseteq K^3 \rightsquigarrow$ bases.

$\overset{P}{\parallel}$

$$B = (x-y, y-z, z)$$

Check: $T_B \subseteq P$.

Need to understand $T_B \cdot h_3(x, y, z)$

& $\overline{T_B \cdot h_3(x, y, z)}$

$$\begin{aligned} & T_B \cdot h_3(x, y, z) \\ & \text{"} \\ & B(ST_n)B^{-1} \end{aligned}$$

Write $h_3(x, y, z)$ in the basis B
& then work with ST_n

$$B = \begin{pmatrix} x-y & y-z & z \\ a & b & c \end{pmatrix}$$

$$x = a + b + c$$

$$y = b + c$$

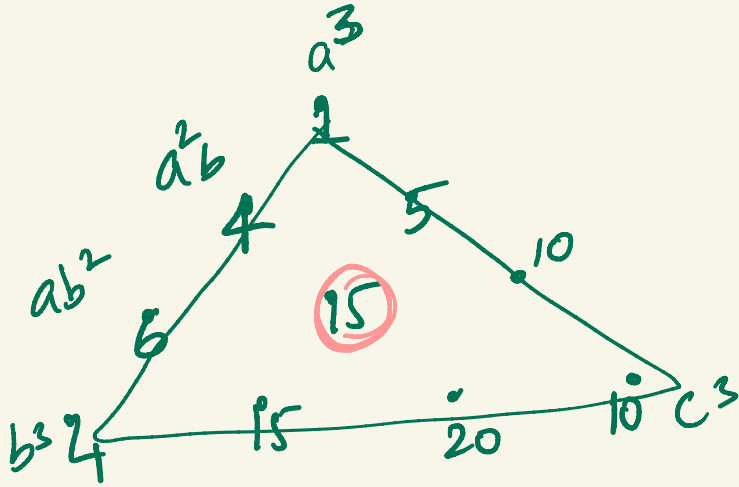
$$z = c$$

$$h_3(x, y, z) = h_3(a+b+c, b+c, c).$$

$$h_3(a+b+c, b+c, c)$$

$$= a^3 + 4a^2b + 6ab^2 + 4b^3 + 5a^2c + 10ac^2 + 10c^3 + 15b^2c + 20bc^2 + 15abc.$$

Plot the newton polytope



char 0 \rightarrow Newton polytope contains \circ

$\Rightarrow J_B \cdot h_3(x, y, z)$ is closed.

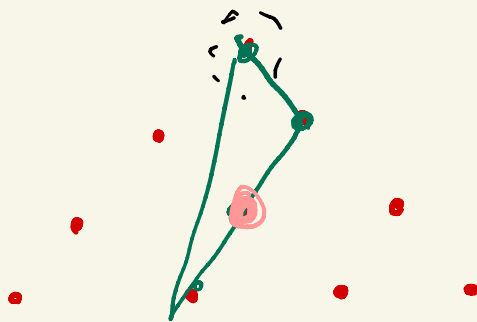
If $p \neq 2, 5$

Newton polytope contains origin
 \therefore along w/ analogous computation for other parabolic



SL_3 orbit of $h_3(x, y, z)$ is closed.

$p=2$



Origin on the boundary of the Newton polytope

$$\lambda(t) = \begin{bmatrix} t & & \\ & t^{-2} & \\ & & t \end{bmatrix}$$

$$\lambda(t) \cdot a = ta$$

$$b = tb$$

$$c = t^{-2}c$$

$$h_3(x, y, z)$$

$$h_3(a+b+c, b+c, c)$$

$$a^3 + a^2c + abc + b^2c$$

$\downarrow \lambda(t)$ $\lim_{t \rightarrow \infty}$

$$ta^3 + a^2c + abc + b^2c$$

$$c(a^2 + ab + b^2)$$

$$C(a^4 + ab + b^2) = \lim_{t \rightarrow 0} \lambda(t) h_3(x, y, z)$$

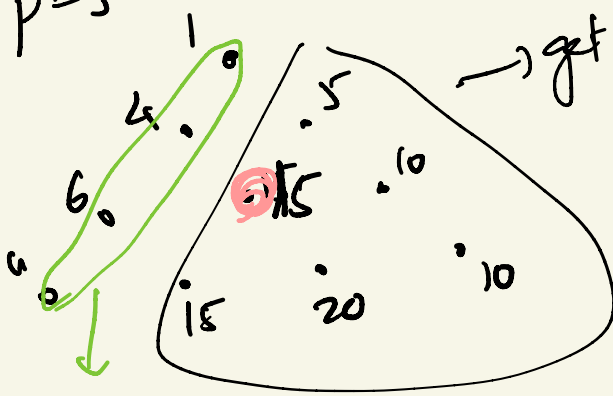
Orbit closure.

Eisenstein criterion

$h_3(x, y, z)$ is irreducible.

Cannot be in the orbit b/c it is reducible.

$p=5$



Newton polytope.

get killed

Origin outside newton polytope

$h_3(x, y, z)$ is in the null cone.

$$0 \in \overline{SL_3 \cdot h_3(x, y, z)}$$

Conclusion:

$$h_3(x, y, z)$$

- SL_3 orbit • closed if $p \neq 2, 5$
- not closed but not in the null cone if $p = 2$
 - in the null cone if $p = 5$.
-

→ Schur polynomials → have closed orbits even in char 0

→ Algorithm to decide if a symmetric polynomial has a closed orbit.